Attorney Docket No.: SAN 18.676A (100946-00056)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: MICHIKAZU HORIE

Filed : Concurrently herewith

Title : OPTICAL RECORDING MEDIUM, DATA RECORDING...

Examiner: THANG V. TRAN

Group Art Unit: 2653

October 15, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

SIR:

In order to comply with discretionary rules 37 CFR §§1.97 and 1.98, attached hereto is a copy of Form PTO-1449. Copies of such documents were made of record in the parent U.S. Application 09/942,277, filed August 27, 2001, from which the present application is a continuation. These documents contain information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

As this Statement is being filed prior to issuance of a first Office Action, no fee is due.

The present Disclosure Statement is being submitted in compliance with 37 CFR §1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the

Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR §§1.104 (a) and 1.106 (b), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is respectfully solicited.

Charge any fee due with this paper to Deposit Account 50-1290.

Respectfully submitted,

Brian S. Myers Reg. No. 46,947

## **CUSTOMER NUMBER 026304**

Katten Muchin Zavis Rosenman 575 Madison Avenue New York, NY 10022-2585 (212) 940-8703

Docket No.: SAN 18.676A (100946-00056)

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Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

1449/PTO	U.S. Department of Commerce	Application No. :
	Patent and Trademark Office	Filing Date : CONCURRENTLY HEREWITH
INFORMATION	DISCLOSURE	
		First Named Inventor: M. HORIE
STATEMENT BY	APPLICANI	Group Art Unit : 2653
Sheet 1 of 1		Examiner Name : T.V. TRAN
		Attorney Docket No. : SAN 18.676A

			<b>U.S. PATEN</b>	T DOCUMENTS		
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document	Kind Code if known <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		5,173,886		SATOH, et al.	12/22/1992	
		6,310,854		SATO, et al.	10/30/2001	
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		5,721,856		MITSUMI ELEC. CO. LTD.	02/24/1998	
						-

Cite No.	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
	0 626 646	EP	FUJITSU LIMITED	11/30/1994	
	1 056 077	EP	MITSUBISHI CHEMICAL CORPORATION	11/29/2000	
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	EP1006514A1	EP	MATSUSHITA ELEC. IND. CO. LTD.	06/07/2000	
Examiner			Date Considered		

		Date		
Examiner		Considered		
Signature				

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>Unique citation designation number. \*See attached Kinds of U.S. Patent Documents. \*Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1\* if possible. \*Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) → + 1449/PTO	Patent and Trademark Offi	ice U.S. DEPARTMENT OF COMMERCE
1449/P1U	U.S. Department of Commerce	Application No. :
INFORMATION DISCI	Patent and Trademark Office  LOSURE	Filing Date : Concurrently herewith
STATEMENT BY APPL		First Named Inventor: B. MOHEBBI
Sheet 2 of 2	CACANI	Group Art Unit : 2685
Direct 2 OI 2		Examiner Name : M. MILORD

Attorney Docket No.: FUJL 17.218A

			U.S. PATEN	T DOCUMENTS		
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document	Kind Code if known <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures
						Appear
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F	10: 11		<b>FOREIGN</b>	DOCUMENTS		
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		EP1006514A1	EP	MATSUSHITA ELEC. IND. CO. LTD.	06/07/2000	Пррсы
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		2,161,922	CA	T. TAKEUCHU	05/12/1996	

## Other Prior Art-Non Patent Literature Documents

Cite No.	appropriate), title of the item (book, magazine, journal, serial, symposium	antalog etc.)	Applicant check her if English language translation attached
	EDITION. 1990-02		
	ECMA "VOLUME AND FILE STRUCTURE OF CDROM FOR INFORM INTERCHANGE, 2 <sup>ND</sup> EDITION DECEMBER 1987	MATION	
	Date Considered		
		No. appropriate), title of the item (book, magazine, journal, serial, symposium, data, page(s), volume-issue number(s), publisher, country, where published in the published in the property of the article (was appropriate), title of the article (w	No. appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.  IEC 908 "AUDIO RECORDING -COMPACT DISC DIGITAL AUDIO SYSTEM" 2 <sup>ND</sup> EDITION. 1990-02  ECMA "VOLUME AND FILE STRUCTURE OF CDROM FOR INFORMATION INTERCHANGE, 2 <sup>ND</sup> EDITION DECEMBER 1987  Date

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